

3



UNITED STATES PATENT AND TRADEMARK OFFICE

APR 15 2002

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,683	11/02/2001	Theodore S. Webb	SURG:162

O'KEEFE, EGAN & PETERMAN, L.L.P.
 Building C, Suite 200
 1101 Capital of Texas Highway South
 Austin, TX 78746

CONFIRMATION NO. 4096

FORMALITIES LETTER



OC000000007266395

Date Mailed: 01/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2916.
 - \$2412 for 134 total claims over 20.
 - \$504 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3786.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 AOSMANI 00000033 10003683

02 FC:201
 02 FC:202
 04 FC:203

370.00 OP
 252.00 OP
 1206.00 OP
 65.00 OP

05 FC:205



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: THEODORE S. WEBB ET AL.

Filed: NOVEMBER 2, 2001

For: SYSTEMS AND METHODS FOR USING DISTRIBUTED
INTERCONNECTS IN INFORMATION MANAGEMENT
ENVIRONMENTS

Serial No.: 10/003,683

Group Art Unit: UNKNOWN

Examiner: UNKNOWN

Atty. Dkt: SURG:162

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

4-4-02

Marty Sauer

Date

Name

REQUEST FOR EXTENSION OF TIME TO RESPOND TO
NOTICE OF FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
DATED JANUARY 4, 2002

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.136(a), Applicants petition for an extension of time for 1 month(s) to and including March 20, 2001, in which to respond to the Notice to File Missing Parts Of Nonprovisional Application dated January 4, 2002.

Pursuant to 37 C.F.R. §1.17, a check in the amount of \$55.00 is enclosed, which is the fee for a 1 month(s) extension of time.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Deposit Account No. 10-1205/SURG:162.

Respectfully submitted,

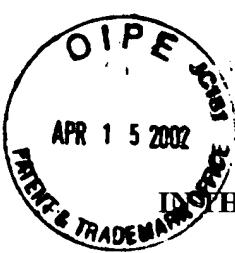


William W. Enders

Reg. No. 41,735

Attorney for Applicants

O'KEEFE, EGAN & PETERMAN, LLP
1101 Capital of Texas Highway So.
Building C, Suite 200
Austin, Texas 78746
512/347-1611
FAX: 512/347-1615



04.24.02 BYC8
0410

MPH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: THEODORE S. WEBB ET AL.

Filed: NOVEMBER 2, 2001

For: SYSTEMS AND METHODS FOR USING DISTRIBUTED
INTERCONNECTS IN INFORMATION MANAGEMENT
ENVIRONMENTS

Serial No.: 10/003,683

Group Art Unit: UNKNOWN

Examiner: UNKNOWN

Atty Docket No.: SURG:162

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

4-4-02

Mary Bown

Date

Name

Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

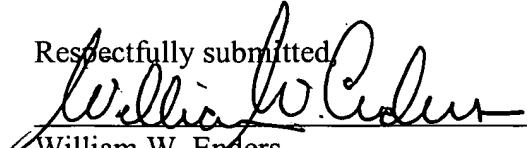
Transmitted herewith for filing are:

- New Patent Application consisting of ____ pages
- Continued Prosecution Application (37 CFR §1.53(d)) -- The parent application is USSN ____ filed on _____. The prior Examiner was ____ in Group Art Unit ____.
- Notice to File Missing Parts of Nonprovisional Application - Part 2 (2 pgs)
- Assignment and Recordation Cover sheet (3 pages)
- Inventor's Declaration (8 pages)
- Election Under 37 CFR §3.71 and Statement under 3.73 and Power of Attorney with copy of assignment (9 pages)
- Petition for a ____ month extension of time

- Response to Office Action
- Preliminary Amendment
- Submission of Formal Drawings
- Informal Drawings
- Notice of Appeal
- An Appeal Brief (an original and two copies)
- Checks in the amount of \$1893.00 and \$55.00
- The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/SURG:162, including any concurrent or future required extension of time fees.
- Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- Other: Verified Statement Claiming Small Entity Status and Request for Extension of Time To Respond to Notice To File Missing parts of Nonprovisional Application Dated January 4, 2002

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,



William W. Enders

Registration No. 41,735
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.
1101 Capital of Texas Highway South
Building C, Suite 200
Austin, Texas 78746
512-347-1611
512-347-1615 (Fax)